## 10/538104 JC12 Rec'd PCT/PTC 0 8 JUN 2005

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/538,104 Filing Date Concurrently 6/08/2005 **INFORMATION DISCLOSURE** First Named Inventor POLLMANN-RETSCH STATEMENT BY APPLICANT Art Unit 2821 (Use as many sheets as necessary) **Examiner Name** N/A Alemu Sheet 1 Attorney Docket Number DE020302

| Examiner  | Cite | Document Number                            | Publication Date | T DOCUMENTS  Name of Patentee or | Bassa Calum  | 1 1 in an 140 and                     |
|-----------|------|--|------------------|----------------------------------|--|---------------------------------------|
| Initials* | No.1 | .1 Number-Kind Code <sup>2 (# known)</sup> | MM-DD-YYYY       | Applicant of Cited Document      | Pages, Columis, Lines, Where<br>Relevant Passiges or Relevant<br>Clas Figure: Appear Sub |                                       |
| EA        |      | <sup>US-</sup> 4,672,271                   | 06-09-1987       | Gear et al.                      | 315  | 117                                   |
| EA        |      | <sup>US-</sup> 5,705,897                   | 01-06-1998       | Hanazaki et al.                  | 315  | 307                                   |
| EA        |      | <sup>US-</sup> 4,887,122                   | 12-12-1989       | Morikawa                         | 355  | 30                                    |
| EA        |      | <sup>US-</sup> 4,533,853                   | 08-06-1985       | Hammond                          | 315  | 117                                   |
| EA        |      | <sup>US-</sup> 5,909,085                   | 06-01-1999       | Nelson                           | 315  | 94                                    |
| EA        |      | <sup>US-</sup> 5,962,990                   | 10-05-1999       | Kern                             | 315  | 307                                   |
| EA        |      | <sup>US-</sup> 6,016,031                   | 01-18-2000       | Lapatovich et al.                | 313  | 493                                   |
|           |      | US-  |                  |                                  |  |                                       |
|           |      | US-  |                  |                                  |  | · · · · · · · · · · · · · · · · · · · |
|           |      | US-  |                  |                                  |  | <u> </u>                              |
|           |      | US-  |                  |                                  |  |                                       |
|           |      | UŞ-  |                  |                                  | <del>  .                                   </del>  |                                       |
|           |      | U\$-                                       |                  |                                  |  |                                       |
|           |      | US-  |                  |                                  |  |                                       |
|           |      | US-  |                  |                                  |  |                                       |
|           |      | US-  |                  |                                  | <del></del>  |                                       |
|           |      | US-  |                  |                                  | <del></del>  |                                       |
|           |      | US-  |                  |                                  |  |                                       |
|           |      | US-  |                  |                                  |  |                                       |

|                       |              | FORE   | IGN PATENT DOCU     | MENTS  |   |                |
|-----------------------|--------------|--|---------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  Country Cods <sup>3</sup> Number <sup>4</sup> Kind Code <sup>8</sup> (if known) | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              |  | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | Τ <sup>6</sup> |
| EA                    |              | EP 1 017 257 A1  | 05-07-2000          | HONEYWELL INC.                                     |   | T              |
|                       |              | DE 30-19-669-A1  | 12-10-1980          | BELL & HOWELL                                      |   |                |
|                       |              | DT 1 764728  | 10-14-1071          | BERKEY PHOTO                                       |   |                |
|                       |              |  |                     |  |   |                |
|                       |              |  |                     |  |   |                |
|                       |              |  |                     |  |   |                |

| Europiana | ""              |            |            |
|-----------|-----------------|------------|------------|
| Examiner  |                 | Date       |            |
| Signature | /Ephrem Alemu/  |            | 12/20/2006 |
|           | / DPHICM ATEMU/ | Considered | 12/20/2000 |

\*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

| Application Number   | 10538104                    |  |  |  |
|----------------------|-----------------------------|--|--|--|
| Filing Date          | 2005-06-08                  |  |  |  |
| First Named Inventor | Jens Pollmann-Retsch, et al |  |  |  |
| Art Unit             | 2821                        |  |  |  |
| Examiner Name        | Alemu                       |  |  |  |
| Attorney Docket Numb | er DE020302                 |  |  |  |

|                      |            |                         | •                         | U.S.            | PATENTS   | Remove   |
|----------------------|------------|-------------------------|---------------------------|-----------------|---|--|
| Examiner<br>Initial* | Cite<br>No | Patent Number           | Kind<br>Gode <sup>1</sup> | Issue Date      | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
|                      | 1          | 6016031                 | A                         | 2800-01-18      | LAPATOVICH ET AL                                |  |
|                      | 2          | 4672271                 | А                         | 1987-06-09      | GEAD GARY ET AL                                 |  |
|                      | 3          | 5705897                 | А                         | 1998-01-06      | HANAZAKI YASUMASA ET AL                         | ·  |
|                      | 4          | 4887122                 | Α.                        | 1939-12-12      | MORIKAWA TAKESHI                                |  |
|                      | 5          | 4533583                 |                           | 1985-08-06      | LAMA WILLIAM L ET AL                            | ·  |
|                      | 6          | 5909085                 | A                         | 1999-06-01      | NELSON LEONARD Y                                |  |
|                      | 7          | 5962590                 | A                         | 1999-10-05      | KERN ROBERT                                     |  |
| lf you wish          | to a       | d additional U.S. Paten |                           |                 | ease click the Add button.                      | Add  |
|                      |            |                         | U.S.P                     | A I EM I APPLIC | CATION PUBLICATIONS                             | Remove   |

## **Application Number** 10538104 Filing Date 2005-06-08 INFORMATION DISCLOSURE First Named Inventor Jens Pollmann-Retsch, et al STATEMENT BY APPLICANT Art Unit 2821 ( Not for submission under 37 CFR 1.99) **Examiner Name** Alemu Attorney Docket Number DE020302 Pages, Columns, Lines where Examiner Cite Kind **Publication** Name of Patentee or Applicant Publication Number Relevant Passages or Relevant Initial\* No Code<sup>1</sup> Date of cited Document Figures Appear 1 If you wish to add additional U.S. Published Application citation information please click the Add button. Add FOREIGN PATENT DOCUMENTS Remove Pages, Columns, Lines Name of Patentee or Foreign Document Examiner Cite Country **Publication** Kind where Relevant Applicant of cited **T**5 Initial\* No Number<sup>3</sup> Code2 i Code<sup>4</sup> Date Passages or Relevant **Document** Figures Appear 2009-07-05 EP1017257 A1 HONEYWELL INC 2 1980-18-18 DE3019669 **BELL & HOWELL CO** If you wish to add additional Foreign Patent Document citation information please click the Add button Add NON-PATENT LITERATURE DOCUMENTS Remove Include name of the author (A CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), **T**5 Initials\* No publisher, city and/or country where published. 1 If you wish to add additional non-patent literature document citation information please click the Add outton **EXAMINER SIGNATURE** /Ephrem Alemu/ **Examiner Signature Date Considered** 12/20/2006 \*EXAMINER: Initigatif reference considered, whether or not citation is in conformance with MPEP 609. Draw like through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant